

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. Further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

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28120 7590 06/04/2007

FISH & NEAVE IP GROUP
 ROPES & GRAY LLP
 ONE INTERNATIONAL PLACE
 BOSTON, MA 02110-2624

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

| | |
|--------------------------|--------------------|
| Mary Jane DiPalma | (Depositor's name) |
| <i>Mary Jane DiPalma</i> | (Signature) |
| July 25, 2007 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/656,838 | 09/05/2003 | Jianjun Cheng | ITI-P01-008 | 8403 |

TITLE OF INVENTION: CYCLODEXTRIN-BASED POLYMERS FOR THERAPEUTICS DELIVERY

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$0 | \$1000 | 09/04/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------------|----------|----------------|
| MAIER, LEIGH C | 1623 | 424-078080 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Fish & Neave IP Group of
1 Ropes & Gray LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Insert Therapeutics, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Pasadena, California

07/26/2007 HDEMESS2 00000057 181945 10656838

01 FC:2501 700.00 DA

02 FC:1504 300.00 DA

03 FC:8001 30.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

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- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 18-1945 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

David P. Halstead

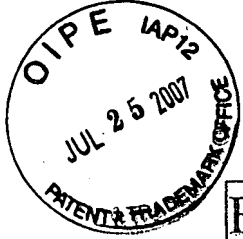
Date July 25, 2007

Typed or printed name David P. Halstead, J.D., Ph.D.

Registration No. 44,735

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FAX TRANSMISSION

DATE: July 25, 2007

PTO IDENTIFIER: Application Number 10/656,838
Patent Number

Inventor: Cheng et al.

MESSAGE TO: Office of Patent Publication

FAX NUMBER: (571) 273-2885

FROM: FISH & NEAVE IP GROUP, ROPES & GRAY LLP

David P. Halstead, J.D., Ph.D.

PHONE: (617) 951-7615

Attorney Dkt. #: ITI-P01-008

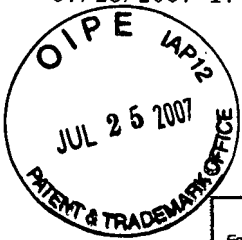
PAGES (Including Cover Sheet): 3

CONTENTS: Fee Transmittal (1 page)
PTO Form PTOL-85 Part B Fee(s) Transmittal (1 page)
Charge \$1,030.00 to deposit account 18-1945
This Facsimile Cover Sheet (1 page)

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One International Place, Boston, Massachusetts 02110
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PTO/SB:17 (04-07)

Approved for use through 04/30/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | | |
|---|------|--------------------------|-------------------|
| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2007 | | Complete if Known | |
| <input checked="checked" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Application Number | 10/656,838 |
| | | Filing Date | September 5, 2003 |
| | | First Named Inventor | Jianjun Cheng |
| | | Examiner Name | L. C. Maier |
| | | Art Unit | 1623 |
| | | Attorney Docket No. | ITI-P01-008 |
| TOTAL AMOUNT OF PAYMENT | (\$) | 1,030.00 | |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 18-1945 Deposit Account Name: Fish & Neave IP Group, Ropes & Gray LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments


| FEE CALCULATION | | | | | | | |
|---|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | | | | | | | |
| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

| 2. EXCESS CLAIM FEES | | |
|--|----------|-----------------------|
| Fee Description | Fee (\$) | Small Entity Fee (\$) |
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims |
|--|--------------|----------|---------------|---------------------------|
| 106 | - 106 | x | = | |
| HP = highest number of total claims paid for, if greater than 20. | | | | |
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | |
| 5 | - 5 | x | = | |
| HP = highest number of independent claims paid for, if greater than 3. | | | | |

| 3. APPLICATION SIZE FEE | | | | |
|---|--------------|--|----------|---------------|
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
| - 100 = | /50 = | (round up to a whole number) x | = | |

| 4. OTHER FEE(S) | |
|---|----------------|
| | Fees Paid (\$) |
| Non-English Specification, \$130 fee (no small entity discount) | |
| Other (e.g., late filing surcharge): | |
| 2501 Utility issue fee | 700.00 |
| 1504 Publication fee for early, voluntary, or normal ... | 300.00 |
| 8001 Printed copy of patent w/o color | 30.00 |

| | | | | |
|-------------------|---|--------------------------------------|--------|-----------------------------|
| SUBMITTED BY | | | | |
| Signature |  | Registration No. (Attorney/Agent) | 44,735 | Telephone (617) 951-7615 |
| Name (Print/Type) | David P. Halstead, J.D., Ph.D. | | | Date July 25, 2007 |

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-2885, on the date shown below.

Dated: July 25, 2007 Signature: Mary Jane DiPalma (Mary Jane DiPalma)